# Polychromatic Sums and Products in Finite Fields

Karissa, Katie, Rafael - Missouri State University, Springfield

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Karissa, Katie, Rafael - Missouri State University, Springfield Polychromatic Triples

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  - Sums and products (Erdős, Szemerédi)
  - Arithmetic progressions (Roth, Green-Tao)

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- ► Elekes  $\frac{5}{4}$ , Solymosi  $\frac{4}{3}$ , Konyagin-Shkredov have the record with  $\frac{4}{3} + c$  for some c > 0

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- Green-Tao proved that there are aribtrarily long arithmetic progressions of primes. Their theorem says, for every natural number, k, there exists arithmetic progressions of primes with k terms.

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Monochromatic Sums and Products (Green, Sanders), monochromatic (x, y, x + y, xy) in finite fields. ▶ Partition Z<sub>q</sub> into k sets (called color classes), A<sub>1</sub>, A<sub>2</sub>,..., A<sub>k</sub>, of (roughly) equal size. Such a partition is called a coloring.

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- This is different from the monochromatic triples and quadruples before, where all of the elements would all come from the same set, A<sub>i</sub>.
- Note that this doesn't always happen. No polychromatic quadruples can exist in Z<sub>(4n)</sub>, where the color classes are A<sub>j</sub> = {x ∈ Z<sub>(4n)</sub> : x ≡ j (mod 4)}.

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► Theorem 1: If k ≥ 3, for a large prime, p, then any k-coloring of Z<sub>p</sub>, where each color class has roughly the same size (either [p/k] or [P/k] elements), must admit a polychromatic triple of the form (x, y, x + y).

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- ▶ When working in Z<sub>q</sub>, for q not necessarily prime, our results weaken.
- Theorem 2: There exists an additive polychromatic triple of the form (x, y, x + y) in Z<sub>q</sub> for k-coloring whenever we have k > q<sup>1/2</sup>+ε, for every ε > 0.

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- ► As a corollary to Theorem 2, we also have the existence of multiplicative polychromatic triples in Z<sub>p</sub>.
- Corollary 1: There exists a multiplicative polychromatic triple of the form (x, y, xy) in Z<sub>p</sub> for k-coloring whenever we have k > q<sup>1/2+ε</sup>, for every ε > 0.

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So we apply Theorem 2 to the sets of exponents of g that correspond to each color class. We introduce the notation A ⊆<sub>e</sub>B, to mean that A is a subset of B, except for possibly a small exceptional set. That is to say, that A is **essentially** a subset of B. More precisely, for some small, specified constant,

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- ▶ Lemma: If p is a large prime, and A, B, and C are disjoint subsets of Z<sub>p</sub>, each of size n or n + 1, with <sup>p</sup>/<sub>3</sub> + 1 > n > 10, and possibly have the same size, then there exists a triple, (x, y, x + y), where no two of the elements come from the same set.

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- We will prove the lemma by showing that we cannot have A + B ⊆ A ∪ B and A + C ⊆ A ∪ C simultaneously, which will mean that we have a polychromatic triple.

▶ Without loss of generality, we will assume that |A| = n. Let |B| = m, which is either n or n + 1, and let |C| = I, which is also either n or n + 1.

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- ► Cauchy-Davenport Theorem: For additive subsets of Z<sub>p</sub>, A and B: |A + B| ≥ min{|A| + |B| 1, p}.

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In our case, we will have that |A + B| ≥ |A| + |B| − 1, by Cauchy-Davenport.

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- If |A + B| > |A| + |B|, then A + B ⊈ A ∪ B, and we have a polychromatic triple. So we can assume that one of the following two theorems hold, giving us information on the structure of A and B:

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- ► Vosper's Theorem: If |A + B| = |A| + |B| 1 then A and B are arithmetic progressions with the same step size.

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- If |A + B| > |A| + |B|, then A + B ⊈ A ∪ B, and we have a polychromatic triple. So we can assume that one of the following two theorems hold, giving us information on the structure of A and B:
- ► Vosper's Theorem: If |A + B| = |A| + |B| 1 then A and B are arithmetic progressions with the same step size.
- ► Hamidoune-Rødseth Theorem: If |A + B| = |A| + |B| then A and B are =<sup>1</sup> arithmetic progressions with the same step size.

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$$|A + B| = |A| + |B| - 1$$
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 $|A + B| = |A| + |B|$  (Hamidoune-Rødseth)

 In either the case of Vosper's Theorem or the Hamidoune-Rødseth Theorem, we will have that our color classes must essentially be arithmetic progressions. ► In the case that |A + B| = |A| + |B| - 1, we write down what the elements of each arithmetic progression must look like and make some reductions.

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$$A = \{a_0 + su : s \in [0..(n-1)]\}, B = \{b_0 + su : s \in [0..(m-1)]\}.$$

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$$A + B = \{a_0 + b_0 + su : s \in [0..(n + m - 2)]\}$$

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- ▶ In either case, we will have  $A = \{a_0 + su : s \in [0..n]\}$  and  $B = \{b_0 + su : s \in [0..m]\}$ .
- ► The sumset will be of the form  $A + B = \frac{1}{a_0 + b_0 + su}$ :  $s \in [0..(n + m - 1)]$ .

- If we have |A + B| = |A| + |B|, then A and B are arithmetic progressions, but missing one element.
- ▶ In either case, we will have  $A = {1 a_0 + su : s \in [0..n]}$  and  $B = {1 b_0 + su : s \in [0..m]}$ .
- ► The sumset will be of the form  $A + B = 1{a_0 + b_0 + su : s \in [0..(n + m - 1)]}.$
- ► The subscript of 1 follows from the fact that we are guaranteed that A + B can be missing no more than one element from the set {a<sub>0</sub> + b<sub>0</sub> + su : s ∈ [0..(n + m - 1)]}, by Cauchy-Davenport.

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▶ So, 
$$A \triangleq_1 \{a_0 + su : s \in [0..n]\}$$
 and  $C \triangleq_1 \{c_0 + su : s \in [0..I]\}$ .

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$$A = \{a_0 + su : s \in [0..n]\}$$
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► The sumset is of the form  $A + C = {}_1 \{a_0 + c_0 + su : s \in [0..(n + l - 1)]\}.$  Without loss of generality, we can assume that u = 1. If u ≠ 1, divide everything by u, and we preserve all of the same arithmetic data. We know u ≠ 0, as it is the step size of an arithmetic progression.

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$$A \widehat{=}_1[a_0..(a_0 + n)]$$

- ▶ Without loss of generality, we can assume that u = 1. If  $u \neq 1$ , divide everything by u, and we preserve all of the same arithmetic data. We know  $u \neq 0$ , as it is the step size of an arithmetic progression.
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- $C \widehat{=}_1[c_0..(c_0 + I)]$

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- $B \widehat{=}_1[b_0..(b_0 + m)]$
- $\blacktriangleright C \widehat{=}_1[c_0..(c_0+I)]$
- Our sumsets are now of the following form  $A + B \cong_1 \{a_0 + b_0 + s : s \in [0..(n + m - 1)]\}$  and  $A + C \cong_1 \{a_0 + c_0 + s : s \in [0..(n + l - 1)]\}.$

▶ By way of contradiction, we will assume that we do not have a polychromatic triple of the form (x, y, x + y).

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- ► This implies that every sum of elements in A and B ends up back in either A or B.
- The same must then be true for A and C.
- ▶ So we have that  $(A + B) \subseteq (A \cup B)$  and  $(A + C) \subseteq (A \cup C)$ .

• Claim: 
$$(A \cup B) \widehat{\subseteq}_{10}[(-m)..m]$$
.

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• Claim:  $(A \cup B) \widehat{\subseteq}_{10}[(-m)..m]$ .

▶ Recall that our sets are of the forms  $A = \frac{1}{a_0 + s}$ ;  $s \in [0..n]$ , and  $B = \frac{1}{b_0 + s}$ ;  $s \in [0..m]$ .

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- ► As A is missing one element and (A + B) is missing no more than one element, then their intersection is missing no more than two elements.
- ▶ So,  $A \cap (A+B) \widehat{=}_2$

$${a_0 + s : s \in [0..n]} \cap {a_0 + b_0 + s : s \in [0..(n+m)]}.$$

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If we subtract a<sub>0</sub> from both sets, we get
 (A - a<sub>0</sub>) ∩ (A + B - a<sub>0</sub>) =<sup>2</sup><sub>2</sub>

 {s: s ∈ [0..n]} ∩ {b<sub>0</sub> + s : s ∈ [0..(n + m)]}.

Since  $(A + B) \subseteq (A \cup B)$ , and  $|A \cup B| = n + m$ , and  $|A + B| \ge n + m - 1$ , we know that  $|A \cap (A + B)| \ge n - 1$ .

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- Combining this with  $(A a_0) \cap (A + B a_0) = 2\{s : s \in [0..n]\} \cap \{b_0 + s : s \in [0..(n + m)]\}$  and the fact that  $|(A a_0)| = n$  tells us that  $[0..n] \subseteq 2[b_0..(b_0 + n + m)]$ .

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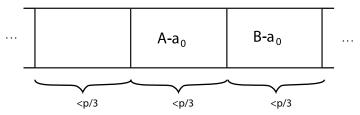
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So (A − a<sub>0</sub>) is either the first or second half of [b<sub>0</sub>..(b<sub>0</sub> + n + m)] and (B − a<sub>0</sub>) is the rest.



As each subset of  $\mathbb{Z}_p$  is of size less than p/3, neither set can wrap all the way around to border both sides of the other. This figure ignores the possible exceptional elements.

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Since  $(A - a_0) \cong_1 [0..n]$ , we have that either

(i) 
$$(B - a_0) \widehat{=}_4 [b_0 .. (b_0 + m)]$$
 (left half),

or

(ii) 
$$(B - a_0) = \frac{1}{4} [(b_0 + n) .. (b_0 + n + m)]$$
 (right half).

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► In case (*i*),  $(A - a_0) =_4 [(b_0 + m + 1)..(b_0 + n + m)].$ 

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• But 
$$(A - a_0) = {}_1[0..n]$$

▶ So, 
$$b_0 \in [(-m-5)..(-m+5)]$$
 and  $b_0 \in [(-5)..5]$ .

• In case (ii), 
$$(A - a_0) = \frac{1}{4} [b_0 ... (b_0 + n)].$$

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• In case (ii), 
$$(A - a_0) = \frac{1}{4} [b_0 .. (b_0 + n)].$$

• But again, 
$$(A - a_0) \widehat{=}_1 [0..n]$$

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- ▶ In case (*ii*),  $(A a_0) = \frac{1}{2} [b_0 .. (b_0 + n)]$ .
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- ▶ So,  $b_0 \in [(-5)..5]$ , and  $b_0 \in [(m-5)..(m+5)]$ .
- In either case, we can see that the union of A and B must then be, essentially, [(−m)..m], with at most five exceptions from each of A and B, giving us the desired claim, that A ∪ B=<sub>10</sub>[(−m)..m].

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- But this reasoning also applies with A and C, meaning that three disjoint sets of size n have to be contained in an interval of about 2n integers, with no more than 4 exceptional elements per set. This is a contradiction for n > 12.

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Theorem 2: There exists an additive polychromatic triple of the form (x, y, x + y) in Z<sub>q</sub> (q may be composite!) for k-coloring whenever we have k > q<sup>1/2+ε</sup>, for any ε > 0.

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- ▶ Rearranging, we get that there exist *n* values of (a<sub>i</sub> a<sub>j</sub>), for a fixed *i* due to the *n* choices of *j*.
- Since there are *n* choices for *a<sub>i</sub>*, the total number of elements that could be added to *A* to get *A* is ≤ *n*<sup>2</sup>.

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• Set 
$$|\mathbb{Z}_q \setminus A| \leq n^2$$
, where  $|\mathbb{Z}_q \setminus A| = q - n$ .

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- Set  $|\mathbb{Z}_q \setminus A| \le n^2$ , where  $|\mathbb{Z}_q \setminus A| = q n$ .
- Note that  $\mathbb{Z}_q \setminus A$  is the union of all of the other color classes.

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- ▶ Bounding the number of possible solutions for x in a<sub>i</sub> = x + a<sub>j</sub>, we get
- $q n \le n^2$ •  $q + \frac{1}{4} \le n^2 + n + \frac{1}{4}$

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- ▶ Bounding the number of possible solutions for x in a<sub>i</sub> = x + a<sub>j</sub>, we get
- *q* − *n* ≤ *n*<sup>2</sup>
   *q* +  $\frac{1}{4}$  ≤ *n*<sup>2</sup> + *n* +  $\frac{1}{4}$  *q* +  $\frac{1}{4}$  ≤ (*n* +  $\frac{1}{2}$ )<sup>2</sup>

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- q n ≤ n<sup>2</sup>
   q + <sup>1</sup>/<sub>4</sub> ≤ n<sup>2</sup> + n + <sup>1</sup>/<sub>4</sub>
   q + <sup>1</sup>/<sub>4</sub> ≤ (n + <sup>1</sup>/<sub>2</sub>)<sup>2</sup>
   √q + <sup>1</sup>/<sub>4</sub> <sup>1</sup>/<sub>2</sub> ≤ n.

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- ▶ Bounding the number of possible solutions for x in a<sub>i</sub> = x + a<sub>j</sub>, we get
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  √*q* + <sup>1</sup>/<sub>4</sub> <sup>1</sup>/<sub>2</sub> ≤ *n*.
- So, if we violate this, then there must be a polychromatic triple for k > q<sup>1/2+ε</sup>, for any ε > 0.

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• Recall that  $k \approx \frac{q}{n}$ .

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So, if we violate this inequality, then there must be a polychromatic triple for k > q<sup>1/2+ε</sup>, for any ε > 0.

Inclusion-exclusion principle

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#### Inclusion-exclusion principle

▶ 
$$|A \cup B \cup C \cup D| = |A| + |B| + |C| + |D|$$
  
- $|A \cap B| - |A \cap C| - |A \cap D| - |B \cap C| \dots$  plus the triple intersections, minus the quadruple intersection.

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- ▶  $|A \cup B \cup C \cup D| = |A| + |B| + |C| + |D|$ - $|A \cap B| - |A \cap C| - |A \cap D| - |B \cap C| \dots$  plus the triple intersections, minus the quadruple intersection.
- We can always find a polychromatic triple with more than four color classes

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- Inclusion-exclusion principle
- ▶  $|A \cup B \cup C \cup D| = |A| + |B| + |C| + |D|$ - $|A \cap B| - |A \cap C| - |A \cap D| - |B \cap C| \dots$  plus the triple intersections, minus the quadruple intersection.
- We can always find a polychromatic triple with more than four color classes
- We set the following restrictions on our sets and graph the corresponding equations:

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►  $x \neq y$ 

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- $x \neq y$
- ►  $x \neq x + y$

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- $x \neq y$
- $x \neq x + y$
- $y \neq x + y$

- These restrictions guarantee that any triple of the form (x, y, x + y) comes from three different sets.
- $x \neq y$
- $x \neq x + y$
- $y \neq x + y$
- x + y ≠ a<sub>i</sub>, b<sub>i</sub> for every a<sub>i</sub> ∈ A, b<sub>i</sub> ∈ B and where i ranges from 0 to (n − 1)

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We now count the number of choices of x and y that will not give a polychromatic triple. Using an inclusion-exclusion argument (illustrated on the next slide)with m as the number of elements in A ∪ B that x and y cannot be, we have 3p(m+1) - (2(m+1)<sup>2</sup> + m) + (1 + 3m + T) - (S<sub>4</sub>) < p<sup>2</sup>

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► 
$$T = #\{e_1 + e_2 = e_3 : e_1, e_2, e_3 \in (A \setminus \{x\}) \cup (B \setminus \{y\})\} \le m^2$$

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  T = #{e<sub>1</sub> + e<sub>2</sub> = e<sub>3</sub> : e<sub>1</sub>, e<sub>2</sub>, e<sub>3</sub> ∈ (A \ {x}) ∪ (B \ {y})} ≤ m<sup>2</sup>
  S<sub>4</sub> = #{e<sub>1</sub> + e<sub>1</sub> = e<sub>2</sub> : e<sub>1</sub>, e<sub>2</sub> ∈ (A \ {x}) ∪ (B \ {y})} <</li>
- ►  $S_4 = \#\{e_1 + e_1 = e_2 : e_1, e_2 \in (A \setminus \{x\}) \cup (B \setminus \{y\})\} \le max\{m, T\}$

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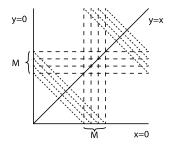
▶ We now count the number of choices of x and y that will **not** give a polychromatic triple. Using an inclusion-exclusion argument (illustrated on the next slide)with m as the number of elements in  $A \cup B$  that x and y cannot be, we have  $3p(m+1) - (2(m+1)^2 + m) + (1 + 3m + T) - (S_4) < p^2$ 

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$$T = #\{e_1 + e_2 = e_3 : e_1, e_2, e_3 \in (A \setminus \{x\}) \cup (B \setminus \{y\})\} \le m^2$$

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- ►  $S_4 = \#\{e_1 + e_1 = e_2 : e_1, e_2 \in (A \setminus \{x\}) \cup (B \setminus \{y\})\} \le \max\{m, T\}$
- So,  $3p + 3pm 2m^2 4m 2 + T S_4 < p^2$

### Inclusion-exclusion figure



This is a graph of all of the points, (x, y), that will not yield a polychromatic triple. The full lines are x = 0, y = 0, and y = x. The vertical dashed lines are the cases of  $x \in M$ , where the horizontal dashed lines are the cases where  $y \in M$ . Finally, the dotted lines indicate points, (x, y), such that  $(x + y) \in M$ .

### • If T is at its worst possible case, $m^2$ , then $S_4 \leq T$

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Karissa, Katie, Rafael - Missouri State University, Springfield Polychromatic Triples

If T is at its worst possible case, m<sup>2</sup>, then S<sub>4</sub> ≤ T
 So, p<sup>2</sup> - 3p - 3pm + 2m<sup>2</sup> + 4m + 2 > 0, where m = 2(n - 1) = 2(p/k - 1) = (2p-2k/k)

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• If T is at its worst possible case,  $m^2$ , then  $S_4 \leq T$ 

► So, 
$$p^2 - 3p - 3pm + 2m^2 + 4m + 2 > 0$$
, where  
 $m = 2(n-1) = 2(\frac{p}{k} - 1) = \frac{2p-2k}{k}$ 

► So, 
$$p^2 - 3p - 3p(\frac{2p-2k}{k}) + 2(\frac{2p-2k}{k})^2 + 4(\frac{2p-2k}{k}) + 2 > 0$$

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From this, we can compute 
$$k \ge 4$$
 and  $p > -\frac{k}{k-2}$ .

### Triples of the form (x, y, xy)

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- ▶ Triples of the form (*x*, *y*, *xy*)
- Examples of color classes when no polychromatic multiplicative triples occur in Z<sub>p</sub> when k = 3

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- Triples of the form (x, y, xy)
- Examples of color classes when no polychromatic multiplicative triples occur in Z<sub>p</sub> when k = 3

р	Color Class 1	Color Class 2	Color Class 3
5	2, 3	1,4	0
7	3, 6, 5	2,4	0, 1

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- Triples of the form (x, y, xy)
- Examples of color classes when no polychromatic multiplicative triples occur in Z<sub>p</sub> when k = 3

р	Color Class 1	Color Class 2	Color Class 3
5	2, 3	1, 4	0
7	3, 6, 5	2, 4	0, 1

As of yet, no further examples have been found when p is greater than 7.

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► Examples of color classes when no polychromatic multiplicative triples occur in Z<sub>q</sub> when k = 3, where q is some non-prime number.

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Examples of color classes when no polychromatic multiplicative triples occur in Z<sub>q</sub> when k = 3, where q is some non-prime number.

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	q	Color Class 1	Color Class 2	Color Class 3
	6	1, 4	2, 5	0, 3
	8	2, 3, 7	0, 4, 6	1, 5
	9	1, 4, 8	0, 3, 6	2, 5, 7
	10	3, 7, 8, 9	2, 4, 6	0, 1, 5
	12	1, 4, 5, 7	2, 8, 10, 11	0, 3, 6, 9

► Examples of color classes when no polychromatic multiplicative triples occur in Z<sub>q</sub> when k = 3, where q is some non-prime number.

	q	Color Class 1	Color Class 2	Color Class 3
	6	1,4	2, 5	0, 3
	8	2, 3, 7	0, 4, 6	1, 5
	9	1, 4, 8	0, 3, 6	2, 5, 7
	10	3, 7, 8, 9	2, 4, 6	0, 1, 5
	12	1, 4, 5, 7	2, 8, 10, 11	0, 3, 6, 9

No examples have been found for color classes in which no additive polychromatic triples occur in Z<sub>g</sub> when k = 3.

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Generalize Theorem 2 for fewer sets. We currently have guaranteed the existence of a polychromatic triple in Z<sub>q</sub> for k-colorings with k > q<sup>1/2+ϵ</sup>, for any ϵ > 0. Can we also guarantee the existence of a polychromatic triple in Z<sub>q</sub> for k-colorings with smaller k?

- Generalize Theorem 2 for fewer sets. We currently have guaranteed the existence of a polychromatic triple in Z<sub>q</sub> for k-colorings with k > q<sup>1/2+ϵ</sup>, for any ϵ > 0. Can we also guarantee the existence of a polychromatic triple in Z<sub>q</sub> for k-colorings with smaller k?
- Computationally, polychromatic quadruples seem to exist rather often. How can we guarantee their existence?

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